

PTO/SB/21 (02-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/698,748	
	Filing Date	October 31, 2003
	First Named Inventor	Charles H. Dewey
	Art Unit	3672
	Examiner Name	Not Assigned
Attorney Docket Number	1030-23401	
Total Number of Pages in This Submission	5+	

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Patent Documents and Non-Patent Documents Forms with 10 cited references Postcard Acknowledgement
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Shannon W. Bates Conley Rose, P.c.
Signature	<i>Shannon Warren Bates</i>
Date	March <u>4</u> , 2004

CERTIFICATE OF TRANSMISSION/MAILING

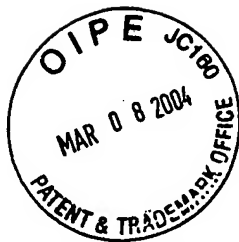
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Clare Jackson
Signature	<i>Clare Jackson</i>
Date	March <u>4</u> , 2004

This collection of information is required by 37 CFR 1.57. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Atty Docket: 1030-23401
(02-RB 25(1))



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Charles H. Dewey	§	
		§	Group Art Unit: 3672
Serial No.:	10/698,748	§	
		§	Examiner: Not Assigned
Filed:	October 31, 2003	§	
		§	Confirmation No.: 3168
For:	DOWNHOLE MOTOR LOCKING	§	
	ASSEMBLY AND METHOD		

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on

Clara Jackson Mar. 4 2004
Signature Date of Deposit

INFORMATION DISCLOSURE STATEMENT

Commissioner:

This Information Disclosure Statement comprises a list of pertinent art of which Applicant is aware. A copy of each patent and each publication listed on the attached Patent Documents form is enclosed herewith.

The submission of this Information Disclosure Statement is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicant hereby reserves the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and that situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicant's invention under 35 U.S.C. § 102 or § 103.

Date: MARCH 4, 2004

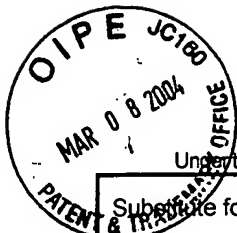
Respectfully submitted,

Shannon W. Bates

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/698,748
				Filing Date	October 31, 2003
				First Named Inventor	Charles H. Dewey
				Art Unit	3672
				Examiner Name	Not Assigned
Sheet	1	of	1	Attorney Docket Number	1030-23401

U.S. PATENT DOCUMENTS					
Examiner's Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US 3,896,667	7/29/1975	Jeter	
	AB	US- 4,705,117	11/10/1987	Warren et al	
	AC	US- 5,911,275	6/15/1999	McGarian et al	
	AD	US- 6,454,007	9/24/2002	Bailey	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		County Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
✓	AE	WO 02/02903 A1	01/10/02	Weatherford/Lamb, Inc.		
✓	AF	GB 2,248,792 A	04/22/92	The Red Baron Limited		
✓	AG	GB 2,353, 332 A	02/21/01	Smith International, Inc.		
✓	AH	GB 2,378,197 A	02/05/03	Smith International, Inc.		
✓	AI	FR 2332412 A	06/17/77	Societe Generale de Constructions Electriques et Mecaniques Alsthom		

Examiner Signature		Date Considered	
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* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds of Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitution for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/698,748
				Filing Date	October 31, 2003
				First Named Inventor	Charles H. Dewey
				Art Unit	3672
				Examiner Name	Not Assigned
Sheet	1	of	1	Attorney Docket Number	1030-23401

NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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